

## Application Guidelines: Grants for Peer-Reviewed Publications

<b>Rules</b>	General terms and conditions of FWF contracts (Section 6, Other Costs; Section 6.3, Publication costs).
<b>Open access</b>	In accordance with the <a href="#">Berlin Declaration</a> , the FWF requires all project leaders and project staff members to make their <b>peer-reviewed publications</b> freely available on the Internet via open access media. For further information, please see the FWF's <a href="#">Open Access Policy</a> .
<b>Remarks</b>	<p>In addition to the project budget, the costs of open access are generally covered by the FWF (for other costs, see below). For information on open access options that do not involve charges, please see <a href="#">green open access</a> or the <a href="#">Directory of Open Access Journals (DOAJ)</a>.</p> <p><b>1. Gold open access:</b> Open access to publications can be ensured by direct publication in an open access medium. The publisher should meet the highest criteria defined by <a href="#">HowOpenISIt</a>. In all cases, however, the publication has to be made available using the <a href="#">Creative Commons Attribution CC-BY</a> licence (or an equivalent open licence). Journals must be listed in the <a href="#">Directory of Open Access Journals (DOAJ)</a>.<sup>1</sup> For other refereed publication formats similar to journal articles, the peer review process must be described in a transparent manner on the publishing venue's website.</p> <p>For information on FWF funding for peer-reviewed monographs, full edited volumes and proceedings, research databases and other web-based formats, see <a href="#">Stand-Alone Publications</a>.</p> <p><b>2. Hybrid open access:</b> If this form of open access is offered by publishers and explicitly chosen by FWF-funded authors, the costs of open access to single contributions in subscription-based venues (<a href="#">hybrid open access</a>) can also be covered. In addition to fulfilling the rules for gold open access, the journals or proceedings have to be listed in <a href="#">Web of Science</a> or <a href="#">Scopus</a>.</p> <p><b>Archiving:</b> Regardless of the option selected, publications have to be stored (by the author or publisher) in repositories with sustainable access at the time of publication. These can be either institutional or subject-specific repositories; for more information, see <a href="#">Directory of Open Access Repositories</a>.<sup>2</sup></p> <p><b>For projects approved before 1 November 2014, please note the following:</b></p> <p><u>Gold and hybrid open access:</u> The fee per publication should not exceed <b>€3,000</b>. In certain cases, exceptions may be made after consultation with the FWF.</p> <p><u>Other publication costs:</u> For journal articles, the FWF provides additional funding for page charges, colour charges, submission fees and membership fees (as long as article fees are significantly reduced by membership) and similar costs. Funding is not provided for covers, reprints or the like.</p>

<sup>1</sup> In cases where an open access journal has been founded very recently (in the last 12 months) and is therefore not yet registered in the DOAJ, the information on the journal's website must clearly demonstrate that all DOAJ criteria are fulfilled.

<sup>2</sup> In cases where an embargo period is imposed, most repositories offer settings to ensure that the publication is not made openly accessible until that period has passed.

**For all projects approved after 1 November 2014, please note the following:**

Gold open access: The FWF covers a maximum of **€2,500** per publication in addition to the project budget. If the fees are higher, authors are encouraged to consider an alternative venue, to meet additional costs using the budget from an ongoing FWF project or to use other resources (e.g., cost sharing with co-authors) to cover the difference.

Hybrid open access: The FWF covers a maximum of **€1,500** per publication in addition to the project budget. If the fees are higher, authors are encouraged to consider an alternative venue or to choose [green open access](#). Alternatively, they should use the budget from an ongoing FWF project or other resources (e.g., cost sharing with co-authors) to cover the difference.

Other costs: For publications in subscription-based venues, additional costs that are not related to open access (e.g., page charges, colour charges, journal covers, reprints and membership fees) are **no longer eligible** for funding. Should publishers require such charges, authors are encouraged to consider an alternative venue or to use the budget from an ongoing FWF project or other resources. .

**For monographs, edited volumes and proceedings arising from projects approved before 30 November 2011, please note the following:**

Funding for monographs, complete edited volumes and proceedings is generally contingent on fulfilment of the open access obligation. Publication costs are reimbursed:

- (a) for an electronic-only version of the publication (up to €8,000);
- (b) for identical electronic copies made available at the same time as the printed version (up to €8,000); or
- (c) for identical electronic copies which are made available by the publisher no more than 12 months after the printed publication (up to €6,000); publications must remain freely available on the Internet in PDF format (and possibly other formats) for at least five years.

The publisher must submit two non-anonymised peer reviews to the FWF. The FWF's rules for conflicts of interest also apply to reviews obtained by the publisher (see [http://www.fwf.ac.at/files/Entscheidung\\_Evaluation/fwf-decision-making-procedure.pdf](http://www.fwf.ac.at/files/Entscheidung_Evaluation/fwf-decision-making-procedure.pdf)).

If the publisher does not keep the document in its archive after the end of the five-year period, the author or editor has the right and the obligation to make an identical electronic copy freely available in a repository of his/her choice.

For the funding of complete edited volumes and proceedings for projects approved after 30 November 2011, see [Stand-Alone Publications](#).

## Checklist

- Conditions** The publication must undergo an international **peer review**.  
 One of the authors must be the project leader or a researcher involved in the project (specifically: a project member or national research partner).  
 In cases where the project ended more than 36 months before the invoice date, the FWF can no longer cover publication costs.  
 The FWF must be mentioned in the acknowledgements as follows:  
**Austrian Science Fund (FWF): project number**
- Procedure** The project leader first pays the publication fee to the publisher using funds from the general budget of the ongoing project, after which the FWF reimburses the amount to the project account. For projects that have already ended, the advance payment should be made by the research institution or from another ongoing FWF project. The use of personal funds should be avoided.  
 The FWF does not transfer funds abroad to cover publication costs.
- Exceptions** [List of publishers](#) with which the FWF has direct agreements
- Application** An e-mail from the **project leader** with the following attachments:
- 1 The completed **Application Form for Peer-Reviewed Publications** ([http://www.fwf.ac.at/files/Antragstellung/Referierte\\_Publikationen/rp\\_form.pdf](http://www.fwf.ac.at/files/Antragstellung/Referierte_Publikationen/rp_form.pdf))
  - 2 An **electronic version** of the publication (\*.pdf; no scanned files)
  - 3 The **original invoice** (\*.pdf) from the publisher, with the remark “Funded by the FWF” or a clear indication of the connection between the article and invoice, either by the persistent identifier (e.g., DOI) or the title of the work.
  - 4 **Proof of payment** in EUR (\*.pdf)
    - (a) If the payment was made by a research institution subject to the 2002 Universities Act (UG 2002), an **SAP expense record** with a stamp and signature from the university’s accounting department is required. Documented bank charges and taxes can be refunded as long as they are itemised and can be attributed directly to the cost amounts.

**or:**

    - (b) A **credit card statement** or a copy of the bank transfer slip;

In both cases, the connection to the invoice must be clearly indicated (e.g., invoice number, title of publication).

## Contacts and further information

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